



4-9-04

Image \$ RCE 1763

Attorney Docket No. 56755 (70551)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: N. Yamamoto, et al.

Examiner: P. Hassanzadeh

U.S.S.N.: 10/007,127

Art Unit: 1763

FILED: December 3, 2001

FOR: PLASMA PROCESSING APPARATUS

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION UNDER 37 C.F.R. 1.10*
(Express Mail label number is *mandatory*.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 4/7/04 in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EV438994885US addressed to Box: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

04/12/2004 SZEWDIE1 00000019 10007127

02 FC:1251

110.00 OP

Eileen Woodbury

(type or print name of person mailing paper)

Eileen M. Woodbury

Signature of person mailing paper

REQUEST FOR CONTINUED EXAMINATION (RCE)
(37 C.F.R. 1.114)

1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. Section 1.114, for the above identified application.

TIME REQUEST IS BEING MADE

2. This request is being submitted (*check appropriate item(s) below*):

- i. ☒ Prior to abandonment of the application
- ii. ☐ Payment of the issue fee
 - ☐ Prior to payment of issue fee
 - ☐ Issue fee has been paid but a petition under Section 1.313 has been granted
- iii. ☐ Prior to a decision on appeal to the Board of Patent Appeals & Interferences

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770.00 OP

(Request for Continued Examination (RCE))--page 1 of 5

☐ A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.

- iv. ☐ Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145
☐ Commencement of a civil action under 35 U.S.C. 146
☐ Prior to the filing of such appeal or commencement of civil action
☐ Such appeal or commencement of civil action has been terminated

ENCLOSURES

3. Enclosed herewith is/are:

☐ An information disclosure (37 C.F.R. Section 1.98)
☐ Form PTO-1449 (PTO/SB/08A and 08B)

☒ A preliminary amendment

☐ New arguments

☐ New evidence in support of patentability

☐ Other:

FEE FOR REQUEST (37 C.F.R. Section 1.17(e)).

4. This application is on behalf of:

☐ Small entity (and status is still as small entity) \$385.00

☒ Other than a small entity \$770.00

Continued Prosecution Request Fee \$ 770.00

FEE FOR CLAIMS

5. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

	(Col.1)		(Col. 2)		(Col. 3) SMALL ENTITY		OTHER THAN A SMALL ENTITY
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee OR	Addit. Fee
Total	5	Minus	20	=	x \$9 = \$		x \$18 = \$
Indep.	1	Minus	3	=	x \$42 = \$		x \$86 = \$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim					+ \$140 = \$0		+ \$280 = \$
							Total Addit. Fee \$

(complete (c) or (d), as applicable)

(c) ☒ No additional fee is required.

OR

(d) ☐ Total additional fee required is \$.

EXTENSION OF TIME

(If an extension of time is appropriate complete (a) or (b), as applicable)

6. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

(a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. Section 1.17(a)(1)-(4), for the total number of months checked below:

<u>Extension for (months)</u>	<u>Fee for small entity</u>	<u>Fee for other than small entity</u>
<input checked="" type="checkbox"/> one month	\$ 55	\$110
<input type="checkbox"/> two months	\$ 210	\$420
<input type="checkbox"/> three months	\$ 475	\$950
<input type="checkbox"/> four months	\$ 740	\$1,480
	Fee	\$ 110.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 110.00

OR

(b) ☐ Applicant believes that no extension of time is required. However, this is a conditional petition and authorization to pay the necessary fees to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE(S) DUE

WARNING: *The fee for continued examination under Section 1.114 may not be deferred. 37 C.F.R. Section 1.53(f).*

7. The total fee(s) due is/are:

Continued Prosecution Fee (Section 1.17(e))	\$ 770.00
Fee(s) for additional claims (if any) (Section 1.16(b)-(d))	\$
Extension of time fee (if any) (Section 1.17(a)(1)-(4))	\$ 110.00
Total Fee(s) Due:	\$ <u>880.00</u>

PAYMENT OF FEE(S) DUE

8. Please pay the fee(s) for this continued examination application as follows:

<input checked="" type="checkbox"/>	Check is attached for the sum of	\$ <u>880.00</u>
<input type="checkbox"/>	Charge Account _____ the sum of	\$ _____
<input type="checkbox"/>	Charge Credit Card the sum of (Credit Card Payment Form (PTO-2038) attached.)	\$ _____

Please charge any required additional fee(s) for Section 1.17(e), Section 1.16(b)-(d) and/or Section 1.17(a)(1)-(4) to

<input checked="" type="checkbox"/>	Account <u>04-1105</u>
<input type="checkbox"/>	Credit Card (Credit Card Payment Form (PTO-2038) attached.)

INVENTORSHIP

9. This application as amended names as inventors:

<input checked="" type="checkbox"/>	the same inventors as previously designated for the claims.
<input type="checkbox"/>	fewer than the inventors previously designated and a statement accompanies this request for the deletion of the name or names of the person or persons who are not inventors of the invention now being claimed.

☐ a person not named previously as an inventor and a petition under 37 C.F.R. Section 1.48 is/has separately:

☐ being filed

☐ been filed

Reg. No.: 44,368

Tel. No.: (617) 517-5512

Customer No.: 21874

440501



SIGNATURE OF PRACTITIONER

Lisa Swiszc Hazzard

(type or print name of practitioner)

EDWARDS & ANGELL, LLP

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P.O. Box 55874

Boston, MA 02205



CHECKLIST FOR REQUEST CONTINUING EXAMINATION

This checklist is designed to reduce errors in the filing of a Request for Continuing Examination (RCE).

Highlighted items must be completed at the time of filing.

Client/Matter No.: 56755 (70551)

- | | | | |
|--------------------------|-------------------------------------|-------------------------|--|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Timing: 1M 00T | Due date of outstanding action has been checked and extension of time is being filed if necessary. A copy of the extension is enclosed in this filing. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Postcard: | Lists all pages and papers being submitted, includes correct client/matter number, mailing date, Express Mail number if applicable, attorney initials and is stamped. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Envelope: | Addressed to Mail Stop RCE |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Transmittal: | Contains the correct date, attorney docket number, serial number, certificate of mailing, title and lists all pages and papers being sent. Transmittal letter is signed by the attorney/agent, and contains statement regarding deposit account. |
| <input type="checkbox"/> | <input type="checkbox"/> | Submission: | RCE must include a submission (amendment or IDS) or reference an earlier submission not yet entered. If amendment filed, format is correct to include claim listing and status. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Payment of Fees: | Check for fees (including extensions of time if needed) is included or deposit account is charged. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Customer No.: | Customer number (21874) is included on filing. |
| <input type="checkbox"/> | <input type="checkbox"/> | Copies: | All copies clear and legible, including all signatures, postcard and check. |
| <input type="checkbox"/> | <input type="checkbox"/> | Manual Docket: | Attorney's manual docket has been updated. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Database Update: | Copy of postcard and/or transmittal for docketing. |

Secretary: _____ 2nd Checker: _____ Date: 4/7/2004